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09/893,269	06/26/2001	Jae-Seob Shin	3364P054	1882

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EXAMINER

RAO, ANAND SHASHIKANT

ART UNIT	PAPER NUMBER
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2613

DATE MAILED: 06/28/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

**Application No.**

09/893,269

**Applicant(s)**

SHIN ET AL.

**Examiner**

Andy S. Rao

**Art Unit**

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 26 January 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

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## DETAILED ACTION

### *Response to Amendment*

1. Applicant's arguments with respect to claims 1-24 as filed in 1/26/05 have been considered but are moot in view of the new ground(s) of rejection based in previously uncited portions of the Gupta reference newly cited to address the newly added limitations of the claims.

### *Claim Rejections - 35 USC § 103*

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1-24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gupta et al., (hereinafter referred to as "Gupta") in view of Das et al., (hereinafter referred to as "Das") and Eifrig et al., (hereinafter referred to as "Eifrig").

Gupta discloses a moving picture (Gupta: column 8, lines 53-59) mailing system (Gupta: column 3, lines 49-65), comprising: a video signal capturing device for capturing digital video signal information of moving pictures (Gupta: column 3, lines 30-38); an audio signal capturing device for capturing digital audio signals from audio signal information of moving pictures (Gupta: column 5, lines 4-8); a moving picture recorder for respectively receiving the video and audio signals (Gupta: column 3, lines 55-68) and transmitting the combined bit streams to a moving picture mail server (Gupta: column 6, lines 39-67), wherein when mail is transmitted to the moving picture mailing server according to user request (Gupta: column 13, lines 5-37), the

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moving picture recorder transmits the combined bit streams to the moving picture mailing server in real-time together (Gupta: column 1, lines 55-57) with the mail (Gupta: column 19, lines 40-50), as in claim 1. Even though Gupta discloses knowledge of MPEG-4 for multimedia composition (Gupta: column 1, lines 50-55), the reference fails to disclose the use of MPEG-4 video and audio compression prior to transmission and multiplexing of the compressed signals as in claim 1. Das discloses that MPEG-4 is desirable for multimedia (i.e. audio/video) compression (Das: column 1, lines 23-30; column 14, lines 25-67) because it allows for object/content scalability in order to transmit the multimedia stream at a high quality across a limited or dynamically varying bandwidth (Das: column 2, lines 25-44). Accordingly, given this teaching, it would have been obvious for one of ordinary skill in the art to incorporate the Das teaching of audio and video compression techniques according to MPEG-4 into the Gupta moving picture mailing system in order to allow for the Gupta system to transmit multimedia streams across either limited bandwidths or dynamically varying bandwidths. The Gupta system, now incorporating the Das MPEG-4 audio and video compression techniques, has a majority of the features of claim 1, but still fails to disclose the use of a multiplexer for combining the streams as recited in the claim. Eifrig discloses an MPEG-4 compression system (Eifrig: figure 1) employing a multiplexer in coding in order to allow for individual VOP manipulation in composition (Eifrig: column 4, lines 45-60). It would have been obvious for one of ordinary skill in the art to incorporate the use Eifrig's multiplexer into the Gupta-Das system in order to allow for individual VOP manipulation in composition. The Gupta system, now incorporating the Das MPEG-4 audio and video compression techniques and the Eifrig multiplexer, has all of the features of claim 1.

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Regarding claim 2, the Gupta system, now incorporating the Das MPEG-4 audio and video compression techniques and the Eifrig multiplexer, has an MPEG-4 video encoder for compressing the video signals captured by the video signal capturing device (Das: column 2, lines 15-20; column 4, lines 55-67), an MPEG-4 audio encoder for compressing the audio signals captured by the audio signal capturing device (Das: column 1, lines 23-27), and an MPEG-4 multiplexer (Eifrig: column 7, lines 5-12; column 4, lines 45-57), as specified.

Regarding claim 3, the Gupta system, now incorporating the Das MPEG-4 audio and video compression techniques and the Eifrig multiplexer, has a memory for temporarily storing the bit streams output by the multiplexer (Eifrig: column 4, lines 57-67), as in the claim.

Regarding claims 4-5, the Gupta system, now incorporating the Das MPEG-4 audio and video compression techniques and the Eifrig multiplexer, has a format converter (Eifrig: column 4, lines 30-38; column 6, lines 30-40), as in the claims.

Regarding claim 6, the Gupta system, now incorporating the Das MPEG-4 audio and video compression techniques and the Eifrig multiplexer, has an audio format converter as in the claim (Das: column 1, lines 25-27), as in the claim.

Regarding claim 7, the Gupta system, now incorporating the Das MPEG-4 audio and video compression techniques and the Eifrig multiplexer, has the MPEG-4 encoder as a simple profile encoder for the video part (Eifrig: column 15, lines 14-67), as in the claim.

Regarding claim 8, the Gupta system, now incorporating the Das MPEG-4 audio and video compression techniques and the Eifrig multiplexer, has a CELP encoder for the audio part (Das: column 1, lines 25-30), as in the claim.

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Regarding claims 9-10, the Gupta system, now incorporating the Das MPEG-4 audio and video compression techniques and the Eifrig multiplexer, has varying frame rates for compressing signals (Das: column 14, lines 25-65) according to hardware performance (Das: column 16, lines 43-67), as in the claims.

Regarding claims 11-12, the Gupta system, now incorporating the Das MPEG-4 audio and video compression techniques and the Eifrig multiplexer, has the multiplexer combining various frames and digital video data (Eifrig: column 6, lines 37-65), as in the claims.

Regarding claims 13-14, the Gupta system, now incorporating the Das MPEG-4 audio and video compression techniques and the Eifrig multiplexer, has decompression of the audio and video signals as compressed (Eifrig: column 4, lines 50-55), as in the claims.

Regarding claim 15, the Gupta system, now incorporating the Das MPEG-4 audio and video compression techniques and the Eifrig multiplexer, has the moving picture recorder and player being downloaded from the moving picture mailing server to be installed by a user (Gupta: column 4, lines 1-20), as in the claim.

Regarding claims 16-17, the Gupta system, now incorporating the Das MPEG-4 audio and video compression techniques and the Eifrig multiplexer, has the execution of automatic download automatic execution program (Gupta: column 4, lines 1-18), as in the claims.

Gupta discloses a moving picture (Gupta: column 8, lines 53-59) mailing method (Gupta: column 3, lines 49-65; column 20, lines 64-67), comprising: capturing digital video and (Gupta: column 3, lines 30-38) audio signal s(Gupta: column 5, lines 4-8) from information of moving pictures (Gupta; column 3, lines 29-30), wherein when mail is transmitted to the moving picture mailing server according to user request (Gupta: column 13, lines 5-37), the moving picture

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recorder transmits the combined bit streams to the moving picture mailing server in real-time together (Gupta: column 1, lines 55-57) with the mail (Gupta: column 19, lines 40-50), as in claim 18. Even though Gupta discloses knowledge of MPEG-4 for multimedia composition (Gupta: column 1, lines 50-55), the reference fails to disclose the use of MPEG-4 video and audio compression prior to transmission and multiplexing of the compressed signals as in claim 18. Das discloses that MPEG-4 is desirable for multimedia (i.e. audio/video) compression (Das: column 1, lines 23-30; column 14, lines 25-67) because it allows for object/content scalability in order to transmit the multimedia stream at a high quality across a limited or dynamically varying bandwidth (Das: column 2, lines 25-44). Accordingly, given this teaching, it would have been obvious for one of ordinary skill in the art to incorporate the Das teaching of audio and video compression techniques according to MPEG-4 into the Gupta moving picture mailing method in order to allow for the Gupta method to transmit multimedia streams across either limited bandwidths or dynamically varying bandwidths. The Gupta method, now incorporating the Das MPEG-4 audio and video compression techniques, has a majority of the features of claim 18, but still fails to disclose the use of a multiplexer for combining the streams as recited in the claim. Eifrig discloses an MPEG-4 compression method (Eifrig: figure 1) employing a multiplexer in coding in order to allow for individual VOP manipulation in composition (Eifrig: column 4, lines 45-60). It would have been obvious for one of ordinary skill in the art to incorporate the use Eifrig's multiplexer into the Gupta-Das method in order to allow for individual VOP manipulation in composition. The Gupta method, now incorporating the Das MPEG-4 audio and video compression techniques and the Eifrig use of multiplexer, has all of the features of claim 18.

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Regarding claims 19, the Gupta method, now incorporating the Das MPEG-4 audio and video compression techniques and Eifrig's use of a multiplexer, has a format converter (Eifrig: column 4, lines 30-38; column 6, lines 30-40), as in the claims.

Regarding claim 20, the Gupta method, now incorporating the Das MPEG-4 audio and video compression techniques and Eifrig's use of a multiplexer, has the MPEG-4 encoder as a simple profile encoder for the video part (Eifrig: column 15, lines 14-67), as in the claim.

Regarding claim 21, the Gupta method, now incorporating the Das MPEG-4 audio and video compression techniques and Eifrig's use of a multiplexer, has a CELP encoder for the audio part (Das: column 1, lines 25-30), as in the claim.

Regarding claims 22-23, the Gupta method, now incorporating the Das MPEG-4 audio and video compression techniques and Eifrig's use of a multiplexer, has varying frame rates for compressing signals (Das: column 14, lines 25-65) according to hardware performance (Das: column 16, lines 43-67), as in the claims.

Regarding claim 24, the Gupta method, now incorporating the Das MPEG-4 audio and video compression techniques and Eifrig's use of a multiplexer, has decompression of the audio and video signals as compressed (Eifrig: column 4, lines 50-55), as in the claim.

### ***Conclusion***

4. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).



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A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Andy S. Rao whose telephone number is (571)-272-7337. The examiner can normally be reached on Monday-Friday 8 hours.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mehrdad S. Dastouri can be reached on (571)-272-7418. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Andy S. Rao  
Primary Examiner  
Art Unit 2613

ANDY RAO  
PRIMARY EXAMINER

